

041604

PTO/SB/05 (08-03)

Approved for use through 07/31/2006. OMB 0651-0032

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13881 US PTO

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

COCH-0010-1

First Inventor

DADD, Fysh

Title

ELECTRODE ARRAY WITH BENDABLE TIP

Express Mail Label No.

19587 U.S. PTO
10/825359

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 25]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 5]
5. Oath or Declaration [Total Sheets]
 - a. ☐ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 18 completed)
 - i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) name in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☒ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ Paper
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☒ Other: Associate POA

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.:

Prior application information:

Examiner

Art Unit:

For CONTINUATION OF DIVISIONAL APPS only; The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS



Customer Number:

22506

OR ☐

Correspondence address below

| | | | |
|-----------|--|----------|--------------|
| Name | Jagtiani + Gutttag | | |
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| City | Fairfax | State | Virginia |
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| | | | |
|-------------------|------------------|-----------------------------------|----------------|
| Name (Print/Type) | Ajay A. Jagtiani | Registration No. (Attorney/Agent) | 35,205 |
| Signature | | Date | April 16, 2004 |

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Patent Fee Transmittal for FY 2004

☒ Applicant Claims Small Entity Status 37 C.F.R. 1.27

TOTAL AMOUNT OF PAYMENT \$914.00

Application Number

Filing Date

Named Inventor

Examiner Name

Art Unit

Attorney Docket No.

To be assigned

Concurrently

Fysh Dadd

To be assigned

To be assigned

COCH-0010-1

FEE CALCULATION

1. Basic Filing Fee

| Large Entity | | Small Entity | | Description | Paid |
|---------------------------|-------|--------------|------|---------------------------------|---------------|
| Code | (\$) | Code | (\$) | | |
| 1001 | 770 | 2001 | 385 | Utility filing fee | \$770.00 |
| 1002 | 340 | 2002 | 170 | Design filing fee | \$ - |
| 1003 | 530 | 2003 | 265 | Plant filing fee | \$ - |
| 1004 | 770 | 2004 | 385 | Reissue filing fee | \$ - |
| 1005 | 160 | 2005 | 80 | Provisional filing fee | \$ - |
| 1609 | 730 | 2609 | 365 | IPEA U.S. | \$ - |
| 1610 | 770 | 2610 | 385 | ISA U.S. | \$ - |
| 1611 | 1,080 | 2611 | 540 | USPTO not ISA or IPEA | \$ - |
| 1612 | 100 | 2612 | 50 | Claims Meet PCT Art. 33-IPEA/US | \$ - |
| 1613 | 920 | 2613 | 460 | Filing with EPO or JPO SR | \$ - |
| Basic Fee Subtotal | | | | | \$ 770 |

2. Extra Claim Fee

a. Claims as Filed

| Large Entity | | Small Entity | | Paid |
|--------------|------|--------------|------|----------|
| Code | (\$) | Code | (\$) | |
| 1202 | 18 | 2202 | 9 | \$144.00 |
| 1201 | 86 | 2201 | 43 | \$ - |
| 1203 | 290 | 2203 | 145 | \$ - |

b. Claims as Amended

| Large Entity | | Small Entity | | Paid |
|--------------|------|--------------|------|------|
| Code | (\$) | Code | (\$) | |
| 1202 | 18 | 2202 | 9 | \$ - |
| 1201 | 86 | 2201 | 43 | \$ - |
| 1203 | 290 | 2203 | 145 | \$ - |

Basic Fee Subtotal \$ 770
Extra Claim Fee Subtotal \$ 144

3. Additional Fees

| Large Entity | | Small Entity | | Description | Paid |
|--------------|-------|--------------|-------|------------------------------------|------|
| Code | (\$) | Code | (\$) | | |
| 1251 | 110 | 2251 | 55 | Extension for reply first month | \$ - |
| 1252 | 420 | 2252 | 210 | Extension for reply second month | \$ - |
| 1253 | 950 | 2253 | 475 | Extension for reply third month | \$ - |
| 1254 | 1,480 | 2254 | 740 | Extension for reply fourth month | \$ - |
| 1255 | 2,010 | 2255 | 1,005 | Extension for reply fifth month | \$ - |
| 1401 | 330 | 2401 | 165 | Notice of Appeal | \$ - |
| 1402 | 330 | 2402 | 165 | Filing Brief in Support of Appeal | \$ - |
| 1403 | 290 | 2403 | 145 | Request for Oral hearing | \$ - |
| 1460 | 130 | 1460 | 130 | Petitions to Commissioner | \$ - |
| 1451 | 1,510 | 1451 | 1,510 | Petition - public use proceeding | \$ - |
| 1452 | 110 | 2452 | 55 | Petition to Revive - Unavoidable | \$ - |
| 1453 | 1,330 | 2453 | 665 | Petition to Revive - Unintentional | \$ - |
| 1501 | 1,330 | 2501 | 665 | Utility Issue Fee | \$ - |
| 1502 | 480 | 2502 | 240 | Design Issue Fee | \$ - |
| 1503 | 640 | 2503 | 320 | Plant Issue Fee | \$ - |
| 1504 | 300 | 1504 | 300 | Publication Fee | \$ - |
| 1814 | 110 | 2814 | 55 | Statutory Disclaimer | \$ - |
| 1811 | 100 | 1811 | 100 | Certificate of Correction | \$ - |

(cont.)

3. Additional Fees (cont.)

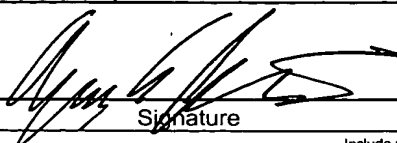
| Large Entity | | Small Entity | | Description (cont.) | Paid |
|--------------|-------|--------------|-------|-------------------------------------|------|
| Code | (\$) | Code | (\$) | | |
| 8021 | 40 | 8021 | 40 | Recording each Assignment | \$ - |
| 1806 | 180 | 1806 | 180 | Submission of IDS | \$ - |
| 1801 | 770 | 2801 | 385 | Request for Cont. Examination | \$ - |
| 1809 | 770 | 2809 | 385 | Filing Submission After Final | \$ - |
| 1051 | 130 | 2051 | 65 | Surcharge - late filing fee or oath | \$ - |
| 1052 | 50 | 2052 | 25 | Surcharge - late provisional fee | \$ - |
| 1053 | 130 | 1053 | 130 | Non-English Specification | \$ - |
| 1807 | 50 | 1807 | 50 | Processing Fee 37 CFR 1.17(q) | \$ - |
| 1812 | 2,520 | 1812 | 2,520 | Request for Ex Parte Reexamination | \$ - |
| 1804 | 920 | 1804 | 920 | Request Pub. of SIR prior | \$ - |
| 1805 | 1,840 | 1805 | 1,840 | Request Pub. of SIR after | \$ - |
| 1810 | 770 | 2810 | 385 | Each Add. Invention Examined | \$ - |
| 1802 | 900 | 1802 | 900 | Expedited Examination (Design) | \$ - |
| 1551 | 910 | 2551 | 455 | Maintenance Fees 3.5 years | \$ - |
| 1552 | 2,090 | 2552 | 1,045 | Maintenance Fees 7.5 years | \$ - |
| 1553 | 3,220 | 2553 | 1,610 | Maintenance Fees 11.5 years | \$ - |
| 1554 | 130 | 2554 | 65 | Surcharge - Late Payment 6 mos. | \$ - |
| Other fee | | | | | \$ - |

Additional Fee Subtotal \$ -

METHOD OF PAYMENT

☒ Deposit Account No. **10-0233-COCH-0010-1**
☒ The Commissioner is hereby authorized to charge the amount shown above and any additional fees which may be required under 37 CFR 1.16, 1.17, 1.18, 1.20 and 1.492 or credit any overpayment to the deposit account number listed above.

| | | | |
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April 16, 2004
Date